AvePoint® DocAve® 6 Release Notes

Compliance Guardian 3.0

Release Notes

Issued January 2013

The Enterprise-Class Management Platform For SharePoint® Governance

New Features

- New role-based Executive Dashboard for quick insight into compliance policy violations across all scans and sources and the ability to drill-down into specific issues.
- New detailed risk assessment reports to identify individual violations and any policy requirements that were not met, including trend reports to show scan results, providing the capability to track environment health over time.
- New extensible API to extend compliance initiatives across the enterprise.
- New Web-based management console outside of SharePoint to support multiple SharePoint farms from a single console.
- New support for scanning content and IT framework for accessibility and privacy violations, such as alternate text for images, personally identifiable information (PII), protected health information (PHI), and sensitive security information (SSI).
- New adaptable AvePoint Testing Language (ATL) to test, validate, and classify all visible and invisible content, elements, and framework for SharePoint sites.
- Ability to classify content with SharePoint metadata and/or embedded document properties, ensuring that classification is “portable” and can travel with content even if it is removed from SharePoint.
- Ability to specify Compliance Guardian’s automated classification or a user’s manually applied classification as the authoritative source.
- Enhanced ability to take action on content based on scan results. In addition to tagging, deleting, or quarantining content, organizations can elect to modify permissions or move the content to a more appropriate location to ensure compliance with information governance policies for access controls and information architecture.
- Ability to integrate with the DocAve Software Platform to identify who has viewed, edited, or assigned permissions to content to accurately assess exposure and impact of policy violations. In addition, integration with DocAve Connector enables support of file share content and SharePoint content.
- Enhanced ability to customize scanning dictionaries beyond simple regular expressions to support a wide range of accessibility, privacy, operational security, and site quality requirements.
- Enhanced scalability and performance with revamped server-client architecture.

Known Issues

- In Scheduled Classification Scanner, if an item contains an attachment with a type not supported for scanning in Compliance Guardian, then this item will not be scanned. The status of the item in Job Monitor will indicate it has failed.
- If you insert an object in a Microsoft Office file and select the **Link to file** checkbox, the object name will have illegal characters according to a rule defined in test definition files (TDFs). Compliance Guardian will scan the object name, but not the object content. If you insert an object (with a supported object type) in a Microsoft Office file and uncheck the **Link to file** checkbox, then the object name will not be scanned, but the object content will be scanned.
- If you configure a filter policy condition based on a list attachment attribute, Compliance Guardian will disregard the attachment filter. The decision to scan the list item attachment is based on the item's attributes, not the attachment.
- In Compliance Scanner, if a file contains a URL and the port of the URL is not a number, then the URL cannot be recognized during the scan. The file will appear in the File Errors Report after the scan completes.
- If a real-time classification rule contains an associated action policy and the action is based on a library column with a default value that will trigger the action, Compliance Guardian will execute the action even if the user overrides the default value when uploading with a value that should not trigger the action.
- In Scheduled Classification Scanner or Compliance Scanner, if you select more than one Web application but do not expand the tree to load the site collections inside those Web applications, only one Web application’s site collections will be included in the full scan job. As a workaround, you can load the nodes under the selected Web applications that will be scanned.
- In Real-Time Classification Scanner, rules and actions defined on parent containers (such as site collections or sites) will not inherit down to child containers (such as subsites) that were created after the rule was applied. If desired, the user should manually re-apply the rule on the parent container to ensure they are copied to all newly-created child objects.
- Scheduled Classification Scanner will not scan files with a checked out status. Once the files are checked in, the files can be scanned as normal.
- In Compliance Guardian, quarantined files or items are moved to the SharePoint Site Collection Recycle Bin. By default, items or files will be automatically deleted after 30 days in the Recycle Bin. Users can adjust the schedule for deleting items in the Recycle Bin by navigating to SharePoint **Central Administration > Manage Web Applications**, selecting the corresponding Web application, and then selecting **General Settings**.

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